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# HK Stocks / Shanghai-HK / Shenzhen-HK Stock Connect - Service Fees & Charges

## HK Stocks Trading Services

| Item | Charges (%) | Minimum Charges** | Platform Fees** |
|---|---|---|---|
| Commission for Internet Trade* | 0.0288 | Waive | HK$15 / RMB¥15 per order |
| Commission for Grey Market Internet Trade* | 0.0588 | Waive | HK$15 / RMB¥15 per order |
| Commission for Phone Trade* | 0.125 | HK$68/RMB¥68 | - |
| Commission for Monthly Investment | HK$0 commission fee during promotion period^# | - | - |
| Commission for Dividend Reinvestment# | Free | - | - |

The above commission rate is applicable to our company's direct clients only. For AE clients, commission rate is negotiable with their AE. Commission is negotiable for bulk transactions.

*Commissions are separately charged for Buy/Sell orders and different transaction types, with no order aggregation offered.
**A platform fee of US$1.88 applies to Hong Kong stock transactions at the USD counter. The other fees of trading in listed RMB¥/USD$ or others' non-HK$ denominated securities would be calculated in HK$ and cleared in RMB¥/USD$ or others' non-HK$ through CCASS. Equivalent amount of currency or buying power in the accounts will be needed if clients are buying and selling RMB¥/USD$ settlement securities. Please contact our Chief Care Department if you need currency exchange services, exchange rate risk may occur, the exchange rate is subject to the company's announcement; Clients could make the orders through phone call. If an internet trading order of HK/Global stock has been amended and executed by our staff, the Trade Type of this order will be deemed as Phone Trade and the Commission will be charged on Phone Trade basis.
^Brokerage fee waiver for the first HK$5000 of each stock contribution amount for online application before 31 December 2026, followed by 0.0668% commission fees. (Waive minimum charges and platform fees)
#Clients are required to pay stamp duty, SFC transaction levy, AFRC transaction levy and HKEX trading fee.

## Other Fees

| Items | Charges | Charging Party |
|---|---|---|
| SFC Transaction Levy# | 0.0027%* transaction amount, Minimum HK$0.01 | HKSFC |
| Accounting and Financial Reporting Council Transaction Levy# | 0.00015%* transaction amount, Minimum HK$0.01 | AFRC |
| HKEX Trading Fee # | 0.00565%* transaction amount, Minimum HK$0.01 | HKEX |
| Stamp Duty# | 0.1%* transaction amount, Rounded up to the Nearest Dollar | HKSAR Government |
| Stock Settlement Fee# | 0.0042%* Transaction Amount | HKSCC |

#Other fees for RMB-settled products will be calculated in HKD and charged in the equivalent RMB amount.

## Italian Financial Transaction Tax

| Charges |
|---|
| Charges: 0.2%<br>Italian Financial Transaction Tax = Net purchases of the same stock on each settlement day x average purchase price x 0.2%<br>The above tax rate and calculation method etc. of Italian FTT may be subject to changes or further clarification on the Italian FTT law. Such third-party costs, fees and charges are subject to change without prior notice and will be determined by Chief. |

## Monthly Investment Plan

| Charges |
|---|
| Commission: Free*<br>^Brokerage fee waiver for the first HK$5,000 of each stock monthly contribution, followed by 0.0668% (no minimum charges and platform fees)<br>Customers are required to pay stamp duty, SFC transaction levy and other fees |

* For Online application only, promotion period until 31 December,2026. Offers are subject to relevant terms and conditions.

## Happy Kid Securities Sub-Account Trading Services

| Items | Charges (%) | Minimum Charges | Platform Fees^ |
|---|---|---|---|
| Commission for Internet Trade | 0.0288 | Waive | HK$15/ RMB¥15 per order |
| Commission for Phone Trade* | 0.125 | HK$68/RMB¥68 | - |
| Monthly Investment Plan | Commission: Free**<br>Brokerage fee waiver for the first HK$5,000 of each stock monthly contribution, followed by 0.0668% (no minimum charges) | | |

*Commissions for buy/sell and different transaction methods are calculated separately, and no consolidation service is provided.
** Offers are subject to relevant terms and conditions.
^ A platform fee of US$1.88 applies to Hong Kong stock transactions at the USD counter.
# Other charges refer to HK Stocks Charges.

## HK Stocks Scrip Handling and Settlement-related Services

| Items | Charges | Minimum Charges |
|---|---|---|
| Physical Scrip Deposit | HK$5 for each transfer deed | - |
| Administration Fee - Physical Scrip Deposit in Bulk Quantity # | HK$10 per deed for the 11th deed or more | - |
| Physical Scrip Withdrawal | HK$5 per board lot | HK$50 per stock |
| CCASS Settlement Instruction (SI) | 0.1% of previous day's closing total value per stock | HK$500 per stock |
| CCASS Investor Settlement Instructions (ISI) | Free | |
| CCASS Settlement (Applicable to depositing stock with temporary code and suspended for trading) | HK$400 per stock* | - |
| Stock Conversion Fee | HK$50 per stock | - |
| Physical Stock Custodian Fee ## | HK$100 per stock per 6 months | |
| Compulsory Buy-back Fee | 1% of share value | HK$300 per Stock |
| Handling Charge for Bought & Sold Note | HK$100 per stock each time | |
| Stock Transfer by Bought Sold Note | 0.10% of stock value per side^ | HK $1,000 |

* Fees can be waived for concurrent deposit of shares with market value.
^ Subject to additional government stamp duty (if any)
# Administration fee will be charged on client who deposits more than 10 physical deeds of the same stock in a single day. The Administration fee, $10 per deed, will be applied to the 11th deed or more.
## This fee will be charged on the client who cannot pick up the applied or returned physical stock before the specified date.

## Shanghai-HK / Shenzhen-HK Stock Connect Trading Services

| Items | Charges (%) | Minimum Charges | Platform Fees |
|---|---|---|---|
| Commission for Internet Trade* | 0.0288 | Waive | RMB¥15 per order |
| Commission for Phone Trade* | 0.125 | RMB¥68 | - |
| Handling Fee | 0.00341 | | |
| Securities Management Fee | 0.002 | | |
| Transfer Fee | 0.003 | | |
| Stamp Fee | 0.05% of Consideration (for sell trade only) | | |

*Commissions for buy/sell and different transaction methods are calculated separately, and no consolidation service is provided.

- Above commission rate is applicable to our company's direct clients only. For AE clients, commission rate is negotiable with their AE. Commission is negotiable for bulk transaction.
- Equivalent amount of currency or buying power in the accounts will be needed if clients are buying and selling RMB¥ settlement securities. Please contact our Chief Care Department if you need the currency exchange services, exchange rate risk may occur, the exchange rate is subject to the company's announcement; Clients could make the orders through phone call.

## Shanghai-HK / Shenzhen-HK Stock Connect Scrip Handling and Settlement-related Services

| Items | Charges | Minimum Charges |
|---|---|---|
| CCASS Settlement Instruction (SI) | 0.1% of previous day's closing total value per stock | RMB¥500 per stock |
| | (Above charge is applicable to withdrawal instruction only. There is free of charge for deposit instruction) | |
| CCASS Investor Settlement Instructions (ISI) | No service provided | |
| Physical Scrip | No service provided | |

## Account Maintenance

| Items | Charges |
|---|---|
| Custody Fee | Free |
| Dormant Account Fee | Free |
| Trading Platform Fee | Free |

## Nominee Services and Corporate Actions

| Items | HK$ & US$ Denominated Products Charges / RMB¥ Denominated Products Charges | Minimum Charges |
|---|---|---|
| Scrip Fee | HK$1.5 per board lot or its equivalent in foreign currency | - |
| Cash Dividend Collection Fee | 0.3% of dividend collected | HK$20 / RMB¥20 |
| Scrip Dividend Collection Fee | 0.3% of dividend collected | HK$20 / RMB¥20 |
| Collection Fee of Bonus Issues | HK$20 / RMB¥20 | - |
| Rights Shares Collection Fee | HK$20 / RMB¥20 | - |
| Fractional Share Administration Fee | HK$20 / RMB¥20 | - |
| Corporate Action Fee | HK$2 / RMB¥2 per board lot | HK$50 / RMB¥50 |
| Share Consolidation/ Subdivision | Free | - |
| Excess Rights Shares | HK$30 / RMB¥30 per transaction | - |
| Administration fee on authorization of representative attending Annual General Meeting | HK$50 / RMB¥50 per authorized representative (No applicable to Shanghai/ Shenzhen stock trading) | - |
| General Meeting Enrollment, Voting or Registry Application | HK Stock trading: HK$50 per instruction<br>Shanghai/ Shenzhen stock trading: RMB¥50, Only voting service in General Meeting is provided | - |
| Italian Financial Transaction Tax Administrative Fee | Total net purchase amount of 0.03% | HK$30 |
| Redemption Fee of Silver Bond / AA Retail Bonds | HK$100 per redemption | - |

Revision of Services Fees & Charges in Oct 2026 (Note 1: Services Fees & Charges list)

## Margin Financing Service

| Account | Interest Rate of Margin Financing^ (in annual interest rate) |
|---|---|
| Margin Account+ | P+1.55% |
| | P+5% |

+ The financial charge is only applicable to HK Stocks Margin Accounts opened on or after April 1, 2026. The interest rate will be as stated in the customer's statement.
^ Negotiable rates for large amount financing.

## Margin Financing Service

| Account | Tiers of Margin Loan Amount (within accepted margin value)^ | Interest Rate of Margin Financing (in annual interest rate) |
|---|---|---|
| Margin Account+ | HK$0 – HK$100,000 | 2.88%* |
| | HK$100,001 above | P+1.55% |
| | Call for Deposit | P+5% |

+ The financial charge is only applicable to HK Stocks Margin Accounts opened from 1 April 2025 to March 31, 2026. The interest rate will be as stated in the customer's statement.
^ Negotiable rates for large amount financing.
* Terms and Conditions (Provide Chinese Version Only) apply.

## Margin Financing Service

| Items | Financial Charges (in annual interest rate)^ | Interest Rate of Margin Financing (in annual interest rate) |
|---|---|---|
| Margin Account | HK$0 – HK$5,000,000 | P+1.55% |
| | HK$5,000,001 above | P+0.25% |
| | Call for Deposit | P +5% |

+ The financial charge is only applicable to HK Stocks Margin Accounts opened on or before March 31, 2025. The interest rate will be as stated in the customer's statement.
^ Negotiable rates for large amount financing.

## Other Services

| Item | | Charges |
|---|---|---|
| Handling Charge for IPO Application^ | Financing Application: | HKD denominated products: HK50-100 |
| | Cash Application: | (i) Via Internet: Free |
| | | (ii) Extended application period (Internet): Free |
| | | (iii) By Telephone: HKD denominated products: HK$50 |
| Handling Charge for Unclaimed Benefits Entitlement | HK$100 per stock per announcement (Minimum charge HK$1,000 per stock) | |
| Request for Dormant Account Confirmation | HK$100 per certificate | |
| Replacement of Lost Delivery Order | Within past 6 months: Free of Charge<br>Beyond past 6 months up to 7th year: HK$50 per stock | |
| Audit Confirmation (Applicable to Corporate Account) | HK$200 for each account | |
| Confirmation of unknown deposit / Confirmation of Depositor* | HK$200 per transaction* | |
| Express Bank Transfer | HK$100 per transaction | |
| Telegraphic Transfer | Subject to bank charges, minimum HK$220 per transaction | |

* If no deposit confirmation is received after transaction, CHIEF will contact the correspondent banks to obtain depositor information, and the additional costs incurred will be borne by the clients.
^ IPO duplicate subscription instructions (cash and/or warrant) will be cancelled, and a handling fee of HK$100 will be charged per cancellation instruction.

## Information Services (Real-time Price Quote Service*)

| Vendor | Version | Monthly Charges ** |
|---|---|---|
| Chief Trader LV1 real-time quotes Service | Chief Trader | Free |
| AAStocks | QuoteWinner (Web version) | HK$388 |
| ETNET | Web version | HK$388 |
| | China version | HK$268 |
| Megahub | Basic Web version | HK$368 |
| PowerTicker | Basic Download version | HK$518 / 5,588 (Annual package) # |
| | Professional Download version | HK$1,018 / HK$9,788 (Annual package) # |
| | China version | HK$238 |
| AFE (No new application allowed) | Web version | HK$388 |
| AA Stocks 2007 | China Version | HK$538 |

Term of Monthly Fee Rebate

Client's monthly Commission for HK Stocks Internet Trade exceeds or reaches HK$1,688 or total monthly trading amount reaches HK$3,500,000.

* Request for subscription or termination of Online Real-time Price Quote Service should be made on or before 25th of each month.

** Full month rate will be charged regardless of commencement date of service and will be debited from client's account at the beginning of each month. Some suppliers will provide China Version. For details of the charges, please visit our branches or call the hotline at (852) 2500 9199. If client fulfills the monthly fee rebate terms, monthly fee charged will be credited to client's account at the beginning of next month (refund maximum is HK$388).

# The annual payment will be deducted from the client's account. After the year plan is expired, it will be automatically converted to monthly payment plan until further notice from customer.

Real-time Price Quote Service's Deposit Arrangement: (Except Chief Trader LV1 real-time quotes Services)

Clients who apply for real-time quote will pay HK$500 (clients need to pay HK$1,000 for PowerTicker Professional download version) as deposit. It will be refunded to your account when you cancel your subscription. Please be aware that your account should have adequate deposit for your subscription on 25th of each month. The Company has the right to cancel your subscription if there is no sufficient fund on that day. The discrepancy will be deducted from your deposit. The amount remained will be deposited to your trading account. Please be aware that we may not notify you prior to any changes in

## Futures / Options / Stock Options / Global Futures – Service Fees & Charges

### Futures Charges (Each Contract)

| Product | Commission (Phone in) Day Trade Overnight | | Commission (Internet) Day Trade Overnight | | Trading Fee | Transaction Levy |
|---|---|---|---|---|---|---|
| | Day Trade | Overnight | Day Trade | Overnight | | |
| HSI Futures# | HK$50 | HK$90 | HK$30 | HK$50 | HK$10 | HK$0.54 |
| MHI Futures# | HK$16.5 | HK$26.5 | HK$12 | HK$20 | HK$3.5 | HK$0.1 |
| HHI Futures# | HK$30 | HK$50 | HK$20 | HK$30 | HK$3.5 | HK$0.54 |
| MCH Futures# | HK$16.5 | HK$26.5 | HK$12 | HK$20 | HK$2 | HK$0.1 |
| Hang Seng TECH Index Futures# | HK$16.5 | HK$26.5 | HK$12 | HK$20 | HK$5 | HK$0.54 |
| Stock Futures | HK$8.5 | HK$16.5 | HK$5 | HK$8 | Tier 1: HK$3.0<br>Tier 2: HK$1.0<br>Tier 3: HK$0.5<br>Auto Settlement: HK $2 | HK$0.1 |
| Mini Futures:<br>London Aluminum<br>London Zinc<br>London Nickel<br>London Tin<br>London Lead<br>London Copper | RMB¥16.5 | | RMB¥12 | | RMB¥3 | RMB¥0.44 |
| CES 120 Futures | HK$30 | HK$50 | HK$20 | HK$30 | HK$10 | HK$0.54 |

## Futures Charges (Each Contract)

| Product | Commission (Phone in) Day Trade | | Commission (Internet) Day Trade | | Trading Fee | Transaction Levy |
|---|---|---|---|---|---|---|
| | Day Trade | Overnight | Day Trade | Overnight | | |
| EUR/CNH Futures | RMB¥30 | | RMB¥8 | | RMB¥5 | - |
| JPY/CNH Futures | RMB¥30 | | RMB¥8 | | RMB¥5 | - |
| AUD/CNH Futures | RMB¥30 | | RMB¥8 | | RMB¥5 | - |
| CNH/USD Futures | US$4 | | US$1 | | US$0.6 | - |
| USD/CNH Futures* | RMB¥30 | | RMB¥15 | | RMB¥8 | - |
| Mini USD/CNH Futures | RMB¥15 | | RMB¥1.8 | | RMB¥1.6 | - |
| Sector Index Futures | HK$16.5 | HK$26.5 | HK$12 | HK$20 | HK$2 | HK$0.54 |
| MSCI Index Futures | US$4 | | US$1 | | US$1/ US$0.6^ | US$0.07 |
| TSI Iron Ore Fines 62% Fe CFR China Futures Monthly Contracts | US$8 | | US$2 | | US$1 | US$0.07 |
| TSI Iron Ore Fines 62% Fe CFR China Futures Quarterly Contracts | US$8 | | US$2 | | US$1 | US$0.07 |
| USD Gold Futures ** | US$8 | | US$2 | | US$1 | US$0.07 |
| USD Silver Futures ** | US$8 | | US$2 | | US$1 | US$0.07 |
| CNH Gold Futures ** | RMB¥50 | | RMB¥15 | | RMB¥6 | RMB¥0.5 |
| CNH Silver Futures ** | RMB¥50 | | RMB¥15 | | RMB¥6 | RMB¥0.5 |

** No physical delivery for Gold & Silver Futures, positions need to be closed before expiry date.

^ Trading Fees of MSCI Futures are subject to corresponding futures. Details could be found at HKEX's website.

## Options Charges (Each Contract)

| Product | Commission (Phone In)) | | Commission (Internet) | | HKEX Trading Fee | SFC Transaction Fee |
|---|---|---|---|---|---|---|
| | Min | Max | Min | Max | | |
| HSI Options (Monthly & Weekly) (Physically Settled Options) *** | 1% of contract value | | 1% of contract value | | HK$10 | HK$0.54 |
| | HK$20 | HK$90 | HK$20 | HK$40 | | |
| MHI Options | 1% of contract value | | 1% of contract value | | HK$2 | HK$0.1 |
| | HK$18 | HK$28 | HK$10 | HK$18 | | |
| HHI Options (Monthly & Weekly) (Physically Settled Options) *** | 1% of contract value | | 1% of contract value | | HK$3.5 | HK$0.54 |
| | HK$30 | HK$50 | HK$20 | HK$30 | | |
| MCH Options | 1% of contract value | | 1% of contract value | | HK$1 | HK$0.54 |
| | HK$18 | HK$28 | HK$10 | HK$18 | | |
| Hang Seng TECH Index Options | 1% of contract value | | 1% of contract value | | HK$5 | HK$0.54 |
| | HK$30 | HK$50 | HK$20 | HK$30 | | |

| Product | Commission (Phone In) | | Commission (Internet) | | Trading Fee | Transaction Levy |
|---|---|---|---|---|---|---|
| | Day Trade | Overnight | Day Trade | Overnight | | |
| USD/CNH Options* | RMB¥30 | RMB¥40 | RMB¥10 | RMB¥20 | RMB¥8 | - |
| MSCI China Free (USD) Index Options | US$4 | | US$2 | | US$1 | US$0.07 |
| MSCI Taiwan (USD) Index Options | US$4 | | US$2 | | US$1 | US$0.07 |

The commission rate is negotiable for large trading volume. Please call (852) 2500 9128 for more information.
* USD / CNH Futures / Options will be settled at expiration by exchanging principal. The charge is RMB¥150 for each contract.
**Gold/Silver futures do not support physical delivery. Positions must be closed before the expiration date.
*** If Physically Settled Options on Futures Contract is exercised on its expiry day, the new trade of the underlying futures will be charged by overnight phone in commission.
^ The exchange fees for MSCI index futures will be determined based on individual futures. For details, please refer to the HKEX website.

# Commission rate for After-Hours Futures Trading (T+1 Session):

1. Establishment and closure of a futures position within the same T+1 session would be classified as a day trade. Commission rate for day trade would be charged accordingly. Establishment of a futures position in the T+1 session which is closed during the next normal trading session would be charged with the commission rate for day trade as well.
2. All commission collection would be shown on the daily statement of the next normal trading session and would be subjected to the statement. (Transactions during the T+1 session would be shown on the daily statement of the next trading day.)
3. HKEX announced that all MSCI futures and options will be the first suite of products ("H Products") to be cleared on Hong Kong public holidays ("H Days") commencing from Monday, 9 May 2022 (Buddha's Birthday public holiday).
4. "H Days" will be regarded as clearing date. Establishment of any futures position in the T+1 session preceding "H Days" which is closed during the next normal trading session following "H Days" would be charged with the commission rate for overnight trade.

- Commission is subject to overnight charges of phone orders if expiring futures contract is closed out by "auto cash settlement" on the last trading day.
- Commission is subject to phone orders charges if expiring index options contract (in-the-money options) is closed out by "auto cash settlement" on the last trading day.
- Commission of index options rounded up to the nearest dollar.

## Stock Options Services Fees & Charges

| Items | | Charges | Minimum charges |
|---|---|---|---|
| Commission for Phone Trade | | 0.50% of Contract Value | HK$100 |
| Commission for Internet Trade | General Charge | 0.25% of Contract Value | HK$50 |
| | 「Long Options $5 Plan」 | HK$5* per lot | N.A. |
| Trading Tariff | | Tier 1:HK $3 for each contract<br>Tier 2: HK$1 for each contract<br>Tier 3: HK$0.5 for each contract | - |
| Exercise Fee # | | HK$2 for each contract | - |
| Stock Option Individual Client Account | | For more details, please call 2500 9138 for inquiries. | - |

# Exercise and assignment of stock options involve settlement of the underlying stock. Normal commission rate of phone orders and other related charges (including stamp duty, SFC transaction levy, AFRC transaction levy, HKEX trading fee and CCASS stock settlement fee) will be charged.

- Commission is calculated separately for each side (buy / sell), different trading channels (telephone and internet) and different position (open new position and close position) and is charged once for the same contract for each 4 different transaction prices.
- In the event of any late settlement of stock options assignment, interest on the late settlement amount and a penalty equivalent to 0.05% of the assigned value (subject to a min. amount of HK$200) would be charged. Moreover, our company reserves the rights of restricting stock options assignment and exercise of such client in the future.
- In case of selling call options, if the client cannot settle the assignment on time which is assigned on the day before the ex-dividend date, mandatory buy-back must be done on the ex-dividend day and an amount equal to the dividend of the buy-back stocks would be debited.

* Applicable to online trading of stock options traded on the SEHK to opening a long position(s) (i.e., relevant Long Call or Long Put instructions) and subsequent related closing position(s) (i.e., relevant Short Call or Short Put instructions). Please refer to T&C for details.

Revision of Services Fees & Charges in Oct 2026 (Note 1: Services Fees & Charges list)

## Global Futures Services Fees & Charges (Commissions in USD (per contract)

### Index Futures

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| E-mini Dow ($5) | CME_CBT | YM | US$12.50 | US$3.50 | US$5.00 | US$0.00 | US$1.45 | Click Here | N/A |
| Micro E-mini Dow | | MYM | US$12.50 | US$0.46 | US$0.46 | | US$0.42 | | |
| E-nano Dow | | NDOW | US$12.50 | US$0.46 | US$0.46 | | US$0.42 | | |
| E-mini S&P 500 | CME | ES | US$12.50 | US$3.50 | US$5.00 | | US$1.45 | | |
| Micro E-mini S&P 500 | | MES | US$12.50 | US$0.46 | US$0.46 | | US$0.42 | | |
| E-nano S&P 500 | | NES | US$12.50 | US$0.46 | US$0.46 | | US$0.42 | | |
| E-mini Nasdaq-100 | | NQ | US$12.50 | US$3.50 | US$5.00 | | US$1.45 | | |
| Micro E-mini Nasdaq-100 | | MNQ | US$12.50 | US$0.46 | US$0.46 | | US$0.42 | | |
| E-nano Nasdaq-100 | | NNQ | US$12.50 | US$0.46 | US$0.46 | | US$0.42 | | |
| E-mini Russell 2000 | | RTY | US$12.50 | US$5.00 | US$5.00 | | US$1.45 | | |
| Micro Russell 2000 Index | | M2K | US$12.50 | US$0.46 | US$0.46 | | US$0.42 | | |
| E-nano Russell 2000 | | N2K | US$12.50 | US$0.46 | US$0.46 | | US$0.42 | | |
| CME Nikkei/USD | | NKD | US$12.50 | US$5.00 | US$5.00 | | US$2.22 | | |
| CME Micro Nikkei/USD | | MNK | US$12.50 | US$0.49 | US$0.49 | | US$0.50 | | |

*The "Fees and Levies" above include exchange fees and related charges, such as NFA fees and exchange clearing fees. Please note that these charges may be adjusted from time to time by the relevant exchanges and regulatory authorities, and the actual fees shall be subject to their official announcements.

^ "Pro Clients" (applicable to high-frequency trading clients)

## FX Futures (Physical delivery not offered)

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| Australian Dollar | CME | 6A | US$12.50 | US$3.50 | US$4.60 | US$0.00 | US$1.67 | Click Here | N/A |
| Canadian Dollar | | 6C | US$12.50 | US$3.50 | US$4.60 | | US$1.67 | | |
| Euro FX | | 6E | US$12.50 | US$3.50 | US$4.60 | | US$1.67 | | |
| British Pound | | 6B | US$12.50 | US$3.50 | US$4.60 | | US$1.67 | | |
| Japanese Yen | | 6J | US$12.50 | US$3.50 | US$4.60 | | US$1.67 | | |
| Swiss Franc | | 6S | US$12.50 | US$3.50 | US$4.60 | | US$1.67 | | |
| New Zealand Dollar | | 6N | US$12.50 | US$3.50 | US$4.60 | | US$1.67 | | |
| E-mini Euro FX | | E7 | US$12.50 | US$3.50 | US$4.60 | | US$0.92 | | |
| E-mini Japanese Yen | | J7 | US$12.50 | US$3.50 | US$4.60 | | US$0.92 | | |
| Micro AUD/USD | | M6A | US$12.50 | US$0.58 | US$0.58 | | US$0.31 | | |
| Micro CAD/USD | | MCD | US$12.50 | US$0.58 | US$0.58 | | US$0.31 | | |
| Micro EUR/USD | | M6E | US$12.50 | US$0.58 | US$0.58 | | US$0.31 | | |
| Micro GBP/USD | | M6B | US$12.50 | US$0.58 | US$0.58 | | US$0.31 | | |
| Micro JPY/USD | | MJY | US$12.50 | US$0.58 | US$0.58 | | US$0.31 | | |
| Micro CHF/USD | | MSF | US$12.50 | US$0.58 | US$0.58 | | US$0.31 | | |

*The “Fees and Levies” above include exchange fees and related charges, such as NFA fees and exchange clearing fees. Please note that these charges may be adjusted from time to time by the relevant exchanges and regulatory authorities, and the actual fees shall be subject to their official announcements.

^ “Pro Clients" (applicable to high-frequency trading clients)

## Metals Futures (Physical delivery not offered)

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| Gold | CME | GC | US$12.50 | US$3.50 | US$4.80 | US$0.00 | US$1.72 | Click Here | N/A |
| E-mini Gold | | QO | US$12.50 | US$0.02 | US$4.80 | | US$1.57 | | |
| Micro Gold | | MGC | US$12.50 | US$0.66 | US$0.66 | | US$0.77 | | |
| 1-Ounce Gold | | 1OZ | US$12.50 | US$0.66 | US$0.66 | | US$0.57 | | |
| Silver | | SI | US$12.50 | US$3.50 | US$4.80 | | US$1.72 | | |
| E-mini Silver | | QI | US$12.50 | US$3.50 | US$4.80 | | US$1.57 | | |
| Micro Silver | | SIL | US$12.50 | US$0.99 | US$0.99 | | US$1.17 | | |
| 100-Ounce Silver | | SIC | US$12.50 | US$0.99 | US$0.99 | | US$0.57 | | |
| Copper | | HG | US$12.50 | US$3.50 | US$4.80 | | US$1.72 | | |
| E-mini Copper | | QC | US$12.50 | US$1.80 | US$4.80 | | US$1.57 | | |
| Micro Copper | | MHG | US$12.50 | US$0.99 | US$0.99 | | US$0.77 | | |
| Palladium | | PA | US$12.50 | US$3.50 | US$4.80 | | US$1.72 | | |
| Platinum | | PL | US$12.50 | US$3.50 | US$4.80 | | US$1.72 | | |

*The “Fees and Levies” above include exchange fees and related charges, such as NFA fees and exchange clearing fees. Please note that these charges may be adjusted from time to time by the relevant exchanges and regulatory authorities, and the actual fees shall be subject to their official announcements.

^ “Pro Clients" (applicable to high-frequency trading clients)

## Agricultural Futures (Physical delivery not offered)

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| Corn | CME_CBT | ZC | US$12.50 | US$3.50 | US$4.30 | US$0.00 | US$2.22 | Click Here | N/A |
| Chicago SRW Wheat | | ZW | US$12.50 | US$3.50 | US$4.30 | | US$2.22 | | |
| KC HRW Wheat | | KE | US$12.50 | US$4.30 | US$4.30 | | US$2.22 | | |
| Soybean | | ZS | US$12.50 | US$3.50 | US$4.30 | | US$2.22 | | |
| Soybean Meal | | ZM | US$12.50 | US$3.50 | US$4.30 | | US$2.22 | | |
| Soybean Oil | | ZL | US$12.50 | US$3.50 | US$4.30 | | US$2.22 | | |
| Oats | | ZO | US$12.50 | US$3.50 | US$4.30 | | US$2.22 | | |
| Mini-Corn | | XC | US$12.50 | US$2.60 | US$2.60 | | US$1.13 | | |
| Mini-sized Chicago SRW Wheat | | XW | US$12.50 | US$2.60 | US$2.60 | | US$1.13 | | |
| Mini Soybean | | XK | US$12.50 | US$2.60 | US$2.60 | | US$1.13 | | |
| Rough Rice | | ZR | US$12.50 | US$3.50 | US$4.30 | | US$2.22 | | |
| Lean Hog | CME | HE | US$12.50 | US$1.80 | US$2.80 | | US$2.17 | | |
| Live Cattle | | LE | US$12.50 | US$3.50 | US$4.30 | | US$2.17 | | |
| Feeder Cattle | | GF | US$12.50 | US$1.80 | US$2.80 | | US$2.17 | | |

*The “Fees and Levies” above include exchange fees and related charges, such as NFA fees and exchange clearing fees. Please note that these charges may be adjusted from time to time by the relevant exchanges and regulatory authorities, and the actual fees shall be subject to their official announcements.

^ “Pro Clients" (applicable to high-frequency trading clients)

## Energy Futures (Physical delivery not offered)

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| Crude Oil | CME | CL | US$12.50 | US$3.50 | US$4.80 | US$0.00 | US$1.57 | Click Here | N/A |
| Mini Crude Oil | | QM | US$12.50 | US$3.50 | US$4.80 | | US$1.27 | | |
| Micro Crude Oil | | MCL | US$12.50 | US$0.80 | US$0.80 | | US$0.67 | | |
| RBOB Gasoline | | RB | US$12.50 | US$1.80 | US$2.88 | | US$1.57 | | |
| Micro RBOB Gasoline | | MRB | US$12.50 | US$0.80 | US$0.80 | | US$0.77 | | |
| NY Harbor ULSD | | HO | US$12.50 | US$1.80 | US$2.88 | | US$1.57 | | |
| Micro NY Harbor ULSD | | MHO | US$12.50 | US$0.80 | US$0.80 | | US$0.77 | | |
| Natural Gas | | NG | US$12.50 | US$3.50 | US$4.80 | | US$1.67 | | |
| Mini Natural Gas | | QG | US$12.50 | US$2.00 | US$2.00 | | US$0.57 | | |
| Micro Natural Gas | | MNG | US$12.50 | US$0.80 | US$0.80 | | US$0.77 | | |
| Brent Last Day Financial Futures | | BZ | US$12.50 | US$4.80 | US$4.80 | | US$0.84 | | |

*The “Fees and Levies” above include exchange fees and related charges, such as NFA fees and exchange clearing fees. Please note that these charges may be adjusted from time to time by the relevant exchanges and regulatory authorities, and the actual fees shall be subject to their official announcements.

^ “Pro Clients" (applicable to high-frequency trading clients)

## Interest Rate Futures (Physical delivery not offered)

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| U.S. 2-Year T-Note | CME_CBT | ZT | US$12.50 | US$1.80 | US$3.88 | US$0.00 | US$0.72 | Click Here | N/A |
| U.S. 5-Year T-Note | | ZF | US$12.50 | US$1.80 | US$3.88 | | US$0.72 | | |
| U.S. 10-Year T-Note | | ZN | US$12.50 | US$1.80 | US$3.88 | | US$0.87 | | |
| U.S. Treasury Bond | | ZB | US$12.50 | US$1.80 | US$3.88 | | US$0.94 | | |
| Ultra 10-Year U.S. Treasury Note | | TN | US$12.50 | US$3.88 | US$3.88 | | US$0.87 | | |
| Ultra U.S. Treasury Bond | | UB | US$12.50 | US$3.88 | US$3.88 | | US$1.02 | | |
| Micro 2-Year Yield Futures | | 2YY | US$12.50 | US$1.00 | US$1.00 | | US$0.37 | | |
| Micro 5-Year Yield Futures | | 5YY | US$12.50 | US$1.00 | US$1.00 | | US$0.37 | | |
| Micro 10-Year Yield Futures | | 10Y | US$12.50 | US$1.00 | US$1.00 | | US$0.37 | | |
| Micro 30-Year Yield Futures | | 30Y | US$12.50 | US$1.00 | US$1.00 | | US$0.37 | | |
| U.S. Treasury Bill | CME | TBF3 | US$12.50 | US$1.80 | US$3.88 | | US$1.32 | | |
| 30 Day Federal Funds | | ZQ | US$12.50 | US$1.80 | US$3.88 | | US$1.03 | | |
| One-Month SOFR | | SR1 | US$12.50 | US$1.80 | US$3.88 | | US$1.32 | | |
| Three-Month SOFR | | SR3 | US$12.50 | US$1.80 | US$3.88 | | US$1.32 | | |

*The “Fees and Levies” above include exchange fees and related charges, such as NFA fees and exchange clearing fees. Please note that these charges may be adjusted from time to time by the relevant exchanges and regulatory authorities, and the actual fees shall be subject to their official announcements.

^ "Pro Clients" (applicable to high-frequency trading clients)

## Cryptocurrency Futures

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| Micro Bitcoin | CME | MBT | US$12.50 | US$1.80 | US$1.80 | US$0.00 | US$1.22 | Click Here | N/A |
| Micro Ether | | MET | US$12.50 | US$1.80 | US$1.80 | | US$0.17 | | |

## Single Stock Futures+

| Contract | Exchange | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|
| Single Stock Futures (100 shares) | CME | US$12.5 | US$12.5 | US$12.5 | US$0.00 | US$0.67 | Click Here | Click Here |
| Micro Single Stock Futures (10 shares) | CME | US$3.83 | US$3.83 | US$3.83 | US$0.00 | US$0.37 | | |

*The “Fees and Levies” above include exchange fees and related charges, such as NFA fees and exchange clearing fees. Please note that these charges may be adjusted from time to time by the relevant exchanges and regulatory authorities, and the actual fees shall be subject to their official announcements.

^ “Pro Clients" (applicable to high-frequency trading clients)

+ Products subject to Section 871(m) of the U.S. Internal Revenue Code may be subject to withholding tax on dividend equivalent payments (generally at a rate of 30%). The Company will deduct the applicable tax amount from the client’s account based on the actual amount determined by the upstream broker or clearing broker. Such deduction represents a pass-through withholding tax and is not a transaction fee charged by the Company. The actual scope of application, withholding tax rate and deduction arrangements shall be subject to the final determination of the upstream broker or clearing broker, applicable laws and the Company’s records.

## Global Futures Options Services Fees & Charges (Commissions in USD (per contract)

### Index Futures Options

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| E-mini Dow ($5) | CME_CBT | OYM | US$12.50 | US$10.00 | US$10.00 | US$0.00 | US$1.45 | Click Here | N/A |
| E-mini S&P 500 | CME | ES | US$12.50 | US$10.00 | US$10.00 | | US$1.45 | | |
| | | EW | US$12.50 | US$10.00 | US$10.00 | | US$1.45 | | |
| | | EW1-EW4 | US$12.50 | US$10.00 | US$10.00 | | US$1.45 | | |
| E-mini Nasdaq-100 | | NQ | US$12.50 | US$10.00 | US$10.00 | | US$1.45 | | |
| | | QNE | US$12.50 | US$10.00 | US$10.00 | | US$1.45 | | |
| | | QN1-QN4 | US$12.50 | US$10.00 | US$10.00 | | US$1.45 | | |

### FX Futures Options (Physical delivery not offered)

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| Australian Dollar | CME | ADU | US$12.50 | US$10.00 | US$10.00 | US$0.00 | US$1.67 | Click Here | N/A |
| | | 1AD-5AD | US$12.50 | US$10.00 | US$10.00 | | US$1.67 | | |
| Canadian Dollar | | CAD | US$12.50 | US$10.00 | US$10.00 | | US$1.67 | | |
| Euro FX | | EUU | US$12.50 | US$10.00 | US$10.00 | | US$1.67 | | |
| | | 1EU-5EU | US$12.50 | US$10.00 | US$10.00 | | US$1.67 | | |
| British Pound | | GBU | US$12.50 | US$10.00 | US$10.00 | | US$1.67 | | |
| | | 1BP-5BP | US$12.50 | US$10.00 | US$10.00 | | US$1.67 | | |
| Japanese Yen | | JPU | US$12.50 | US$10.00 | US$10.00 | | US$1.67 | | |
| | | 1JY-5JY | US$12.50 | US$10.00 | US$10.00 | | US$1.67 | | |
| Swiss Franc | | CHU | US$12.50 | US$10.00 | US$10.00 | | US$1.67 | | |
| New Zealand Dollar | | 6N | US$12.50 | US$10.00 | US$10.00 | | US$1.67 | | |

*The "Fees and Levies" above include exchange fees and related charges, such as NFA fees and exchange clearing fees. Please note that these charges may be adjusted from time to time by the relevant exchanges and regulatory authorities, and the actual fees shall be subject to their official announcements.

^ "Pro Clients" (applicable to high-frequency trading clients)

## Metals Futures Options (Physical delivery not offered)

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| Gold | CME | OG | US$12.50 | US$10.00 | US$10.00 | US$0.00 | US$1.72 | Click Here | N/A |
| | | OG1-5 | US$12.50 | US$10.00 | US$10.00 | | US$1.72 | | |
| Micro Gold | | OMG | US$12.50 | US$10.00 | US$10.00 | | US$0.77 | | |
| Silver | | SO | US$12.50 | US$10.00 | US$10.00 | | US$1.72 | | |
| Copper | | HXE | US$12.50 | US$10.00 | US$10.00 | | US$1.72 | | |

## Agricultural Futures Options (Physical delivery not offered)

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| Corn | CME_CBT | OZC | US$12.50 | US$10.00 | US$10.00 | US$0.00 | US$2.22 | Click Here | N/A |
| Chicago SRW Wheat | | OZW | US$12.50 | US$10.00 | US$10.00 | | US$2.22 | | |
| Soybean | | OZS | US$12.50 | US$10.00 | US$10.00 | | US$2.22 | | |

## Energy Futures Options (Physical delivery not offered)

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| Crude Oil | CME | LO | US$12.50 | US$10.00 | US$10.00 | US$0.00 | US$1.57 | Click Here | N/A |
| | | LO1-LO5 | US$12.50 | US$10.00 | US$10.00 | | US$1.57 | | |
| Natural Gas | | ON | US$12.50 | US$10.00 | US$10.00 | | US$1.67 | | |

## Interest Rate Futures Options (Physical delivery not offered)

| Contract | Exchange | Exchange Code | Phone Trading Commission | Pro Client^ Internet Commission | Standard Client Internet Commission | Platform Fee | Fees and Levies* | Product & Margin Details | Product Promotion |
|---|---|---|---|---|---|---|---|---|---|
| U.S. 10-Year T-Note | CME_CBT | OZN | US$12.50 | US$10.00 | US$10.00 | US$0.00 | US$0.87 | Click Here | N/A |

*The "Fees and Levies" above include exchange fees and related charges, such as NFA fees and exchange clearing fees. Please note that these charges may be adjusted from time to time by the relevant exchanges and regulatory authorities, and the actual fees shall be subject to their official announcements.

^ "Pro Clients" (applicable to high-frequency trading clients)

## Online Trading System*

| Items | Monthly Fee† | Conditions to Waive° |
|---|---|---|
| Hong Kong Futures Real-time Quote Service | Free of Charge | - |
| Stock Options Real-time Quote Service | Free of Charge | - |
| Global Futures Real-time Quote Service (Non Professional User)* | Free of Charge | - |
| Global Futures Real-time Quote Service (Professional User) | US$500 | - |

- Clients need to read and confirm CME Subscriber Agreement for non-professional users.
- Request for termination of Online Real-Time Quote Service should be made on or before 25th of each month.

Global Futures Real-time Quote service deposit arrangement:
Clients who apply for the real-time quote service will be required to pay a US$500 deposit (for professional users). This deposit will be refunded to your account when you cancel your subscription. Please note that your account must maintain a sufficient balance to cover the next month's subscription fee, which is due on the 25th of each month. If there are insufficient funds in your account on the due date, our team will contact you to request that you top up the balance. The company reserves the right to cancel your real-time quote service if if there is no sufficient fund on that day. Any outstanding fees will be deducted from your deposit, and the remaining balance (if any) will be credited back to your trading account.

To learn more about the "Pro Client" Online Trading Fee Tier Upgrade Program, please click here.

## Contact

| | |
|---|---|
| HK Futures & Global Futures Trading | (852) 2500 9128 |
| Stock Options Trading | (852) 2500 9138 |

## Global Securities - Service Fees & Charges

### US Stock Trading Services

| Items | Charges# | Minimum Charges |
|---|---|---|
| Commission for Internet Trade* | Free | |
| Platform Fee | US$0.008 per share, maximum 3% of the transaction value^ | US$0.99 |

| Items | Charges# | Minimum Charges |
|---|---|---|
| Commission for Phone Trade* | US$0.03 per share | US$20 |
| Platform Fee | Free | |

* * Commissions are separately charged for Buy/Sell orders and different transaction types, with no order aggregation offered.

^ Fees shall be charged at a rate of up to 3% of the transaction amount. Where this amount is lower than the minimum fee per transaction, the minimum fee shall apply.

# The above fees do not include regulatory fees, clearing fees, exchange fees, etc. These charges will be billed separately. For details, please refer to the information on our website.

# Above fees are applicable to our company's direct clients only. For AE clients, fees are negotiable with their AE. Fees are negotiable for bulk transaction.

### Other Fees

| Items | Charges# | Minimum Charges |
|---|---|---|
| Clearing Fee* | US$0.003 per share, up to 3% of the transaction amount | US$0.01 |
| FINRA Trading Activity Fee (Seller)^ | US$0.00 per share | US$0.00 |

#Fees are subject to periodic updates in compliance with regulations published by U.S. regulatory authorities.

^ Following the latest announcement by the Financial Industry Regulatory Authority (FINRA), a temporary waiver for the FINRA Trading Activity Fee (TAF) will be implemented from **October 1, 2026, to December 31, 2026 (Eastern Time)**. Our company's related fees will be adjusted concurrently to USD0.00 per share.

## Monthly Investment Plan

| Charges |
| --- |
| Commission: Free* |
| Commission fee & platform fee waiver for the first US$500 of each stock monthly contribution, followed by 0.15% (no minimum charges) Customers are required to pay other fees |

* For Online application only, promotion period until 31 December,2026. Offers are subject to relevant terms and conditions.

## Margin Financing Service

| Account | Interest Rate of Margin Financing^ (in annual interest rate) |
| --- | --- |
| Margin Account+ | P+1.55% |
| | P+5% |

+ The financial charge is only applicable to Global Trading Margin Account opened on or after April 1, 2026. The interest rate will be as stated in the customer's statement.
^ Negotiable rates for large amount financing.

## Margin Financing Service

| Account | Tiers of Margin Loan Amount (within accepted margin value)^ | Interest Rate of Margin Financing (in annual interest rate) |
| --- | --- | --- |
| Margin Account+ | US$0 - US$10,000 | 2.88%* |
| | US$10,001 above | P+1.55% |
| | Call for Deposit | P+5% |

+ The financial charge is only applicable to Global Trading Margin Account opened from 1 April 2025 to March 31, 2026. The interest rate will be as stated in the customer's statement.
^ Negotiable rates for large amount financing.
* Terms and Conditions (Provide Chinese Version Only) apply.

## Margin Financing Service

| Items | Financial Charges (in annual interest rate)^ | Interest Rate of Margin Financing (in annual interest rate) |
|---|---|---|
| Margin Account | HK$0 – HK$5,000,000 | P+1.55% |
| | HK$5,000,001 above | P+0.25% |
| | Call for Deposit | P +5% |

+ The financial charge is only applicable to Global Trading Margin Account opened on or before March 31, 2025. The interest rate will be as stated in the customer's statement.
^ Negotiable rates for large amount financing.

## US Stock Options

| Items | Charges | Minimum Charges |
|---|---|---|
| Commission for Internet Trade* | US$0.99 per contract | US$1.88 |
| Commission for Phone Trade* | US$0.99 per contract | US$20 |
| Exercise Fee^ | US$1 per transaction | - |
| Options Regulatory Fee | US$0.01311 per contract | - |
| Transaction Fee (Seller) | - | - |
| FINRA Trading Activity Fee (Seller)# | US$0.00 per contract | US$0.00 |
| Exchange Fee (US Stock Options) | Varies by Exchanges and transaction type | |

*Commissions for buy/sell and different transaction methods are calculated separately, and no consolidation service is provided.

Above commission rate is applicable to our company's direct clients only. For AE clients, commission rate is negotiable with their AE.

^ Exercise and assignment of stock options involve settlement of the underlying stock. Normal commission rate of internet orders and other related charges (including but not limit to NSCC (DTC) Clearing Fee , Transaction Fee (Seller), FINRA Trading Activity Fee (Seller) will be charged.

#Following the latest announcement by the Financial Industry Regulatory Authority (FINRA), a temporary waiver for the FINRA Trading Activity Fee (TAF) will be implemented from **October 1, 2026, to December 31, 2026 (Eastern Time).** Our company's related fees will be adjusted concurrently to USD0.00 per contract.

"The Option Regulatory Fees, Trading Activity Fees(Seller) and Transaction fees (for Sellers) related to U.S. stock options will be subject to adjustments based on the fees announced by the relevant U.S. agencies from time to time.

Revision of Services Fees & Charges in Oct 2026 (Note 1: Services Fees & Charges list)

## Account Services

| Items | Charges | References |
|---|---|---|
| Custody Fee and Dormant Account Fee | Free | - |
| Dividend Collection# | Free | Free |
| Collection Fee of Bonus Issue | Free | - |
| Non-DTC stock charges | Based on different stocks and total shares traded | Trading Non-DTC stock**, clients are responsible to pay all the clearing charges related to the trade and such charges could exceed the total trading amount |
| DTC Settlement Instruction | Stock Deposit: Free Stock transfer to other brokers: US$50 per stock | Minimum Charges: US$150 |
| ADR Pass-through Fees | Minimum US$0.01per share | - |
| Corporate Action Fees | All fees are borne by clients | - |
| Voting Service | US$3 per instruction | - |
| OCC Option Clearing Fee | US$0.025 per contract | |

** Please refer to our webpage for non-DTC stock information, the accuracy of all information subject to our company's and clearing agent's final announcement
# US stocks are required to pay 30% dividend tax when dividends are paid and dividend tax will be automatically withheld upon dividend payment (Some stocks may be defer and deduct in stages). Some stocks/ ETFs/ ETNs will be automatically refunded by US brokers from time to time to customers who have stocks at the time of netting.

## Singapore Stock Trading Services

| Items | Charges (%) | Minimum Charges (Depending on denominated currency) |
|---|---|---|
| Commission for Phone Trade | 0.20% | SG$38 / US$28 / HK$188 |
| Commission for Internet Trade | 0.15% | SG$18 / US$13 / HK$100 |
| Exchange Transaction Fee | 0.034775% | - |
| Exchange Access Fee | 0.008025% | - |

## Account Services

| Items | Charges | |
|---|---|---|
| Cash/ Scrip Dividend Collection Fee | 1% of dividend collected, minimum charges SG$5 / US$4 | |
| Dividend Tax | Depends on the security dealers/ brokers' actual charge under different circumstances | |
| Bonus Collection | SG$20 per instruction | |
| Exercise Warrant / Equity Interest | SG$25 / US$20 per instruction | |
| Corporate Action Fees | SG$30 / US$25 per instruction | |
| Voting Service | SG$4 per instruction | |
| Physical Scrip Deposit / Withdrawal | Handle on a case-by-case basis | |
| SI Deposit / Withdrawal | SG$10 per share | SG$60 per share |

## Wealth Management - Service Fees & Charges

| Items | Structured notes (Including Equity Linked) Fee | Bonds | Funds (Including Equity Linked) |
|---|---|---|---|
| Subscription Fee or Commission | Not exceed 5% | Not exceed 1% | Subscription Fee: Starting from1.2%, depending on Individual Fund or refer to the respective offering documents of relevant funds will prevail.<br><br>Switching Fee: Applicable to switching between funds provided by the same fund house.<br><br>Online Fund Subscription Fee: As low as 0.8%, please refer to the respective offering documents of the relevant funds. |
| Custody Fee | - | 0.05% (Minimum charge HK$100 per month) | - |
| Dividend Collection Fee | | 0.2% (Minimum charge HK$50 per transaction) | - |
| Transfer Fee# | | HK$600 per transaction | HK$600 per transaction |

# Please note that transfer in/out request of bonds/funds are limited to same name account only.

## US Treasury Bond Trading Services

(Trading Time: Summer: HKT 16:00-05:00 , Winter: HKT 17:00-05:00)

| Items | Charges | Minimum Charges |
|---|---|---|
| Commission for Internet Trade* | Face Value 0.1% | US$8 |
| Commission for Phone Trade* | Face Value 0.1% | US$20 |
| Custody Fee | Face Value 0.05%<br>(Annualized，charge per month) | US$0.01 |
| Dividend Collection Fee | Free of Charge | |
| Platform Fee | Free of Charge | |
| Transfer Fee# | Transfer in：Free of Charge<br>Transfer out： US$100 per transaction | |

*Commission is calculated separately for each side and trading channels individually。

#Please note that transfer in/out request of bonds are limited to same name account only。

## Statement Service Charges

| Items | | Charges |
|---|---|---|
| Statement Administrative Fee | Paper Statement: | HK$20 per month* (Each Category**) |
| | E-statement: | Free of Charge |
| Request for Historical Statements | Send by post: | Within past 3 months: Free of Charge |
| | | Beyond 3 months: HK$20 per page |
| | Send by email: | Within past 6 months: Free of Charge |
| | | Beyond6 months: HK$20 per page |

* Charges will be waived for existing customers born in or before 1957.
** HK stocks, Global Stocks, Futures Commodity and Stock Options will be each deemed as one category.

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