

## Operation of Monthly Investment Plan for US Stocks

The contribution amount, after deducting the handling fee (commission fees and other charges included), will be invested in the selected stock(s) on the 5<sup>th</sup> and / or on the 15<sup>th</sup> HK Stock trading day of each month (“Monthly Purchasing Date”). (Chief Securities reserves the rights to amend the transaction time.)

- The number of shares to be allocated for client will be rounded down to the nearest whole number of shares. The residual amount will be remained in client’s Global Stock account.
- If trading in client’s selected stock suspended from trading on the Monthly Purchasing Date, the selected stock will not be purchased on that contribution. The relevant amount will be remained in the client's Global Stock account (no transaction fees will be deducted). The plan will be operated as usual in the following month after resumption.

Payment Method	Deducted from Global Stock Account
Day of Payment	Before 15:00 on the 5 <sup>th</sup> and / or on the 15 <sup>th</sup> HK Stock trading day of each month
Monthly Purchasing Date	The 5 <sup>th</sup> and / or the 15 <sup>th</sup> HK Stock trading day of each month (If the 5 <sup>th</sup> and / or the 15 <sup>th</sup> HK Stock Trading Day is a non-trading day for US stock market, the Purchasing Date will be postponed to the next trading day for both markets.)
Amendment or Termination of the Plan	Clients who wish to vary the contribution amount, investment portfolio, or terminate the plan should submit such application through "Chief Trader" Mobile APP before 14:00 of the Monthly Purchasing Date. Any application submitted on or after this time will be handled next working day.
Remarks	<ul style="list-style-type: none"> <li>● Client must ensure that client’s Global Stock account has sufficient US dollar cash balance and/ or purchasing power on the Monthly Purchasing Date before 15:00 to cover the contribution amount.</li> <li>● If the contribution amount is not successfully deducted from client’s Global Stock account on the Monthly Purchasing Date, the contribution for that month shall be automatically suspended once without prior notice, and it will continue in the following month.</li> </ul>

\*Remarks:

- “\$0 Commission Fee Online MIP Offer” details: <https://www.chiefgroup.com.hk/en/securities/us-mip>
- Terms and Conditions apply