

親愛的客戶：

《客戶協議書》修訂通知

感謝閣下選用致富證券有限公司或/和致富期貨商品有限公司的服務。茲通知《客戶協議書》將作出修訂，並於 **2024年3月15日**（「生效日」）起生效。修訂的摘要如下：

致富證券有限公司《客戶協議書》

- (1) 「第一部份 一般性條款及規例」新增 6.6 條款及 14.4 條款；
- (2) 「第一部份 一般性條款及規例」新增「21. 利益、獨立性及潛在利益衝突」、「22. 第三者的權利」及「23. 可分割性」條款；
- (3) 「第二部份 各客戶協議書」新增「I. 中華通條款及細則」；
- (4) 「附錄 1 風險披露聲明」新增「執行經紀商/託管人的信貸風險」；及
- (5) 新增「附錄 3 《海外賬戶稅收合規法案》和《共同匯報標準條例》政策」

致富期貨商品有限公司《客戶協議書》

- (1) 「第一部份 條款及條件」新增 3.15 條款及 13.6 條款；
- (2) 「第一部份 條款及條件」新增「26. 持倉限額須申報的持倉量」、「27. 利益衝突」、「28. 第三者的權利」及「29. 可分割性」條款；
- (3) 「附錄 1 風險披露聲明」新增「執行經紀商/託管人的信貸風險」；及
- (4) 新增「附錄 5 《海外賬戶稅收合規法案》和《共同匯報標準條例》政策」

請注意，如閣下在生效日之後仍於本公司持有賬戶或使用本公司任何金融服務、電子證券交易服務或其他服務，有關修訂條款將對閣下具有約束力。若閣下不接納有關修訂條款，本公司可能無法繼續為閣下提供服務。經修訂後《客戶協議書》條款已上載於本行網站(www.chiefgroup.com.hk)供客戶參閱。如有查詢，請致電(852) 2500 9199 與客戶支援中心聯絡。

致富證券有限公司

致富期貨商品有限公司謹啟

2024年2月29日

備註：本函為電腦編印文件，無須簽署。

29 February 2024

Dear Customer,

Notice of Amendments to the CLIENT AGREEMENT

Thank you for choosing the services provided by Chief Securities Limited and/or Chief Commodities Limited. The CLIENT AGREEMENT will be amended with effect from **15 March 2024** (“the Effective Date”). Details of the amendment are stated below.

CHIEF SECURITIES LIMITED - CLIENT AGREEMENT

- (1) Clause 6.6 and Clause 14.4 shall be added to Part 1 GENERAL TERMS AND CONDITIONS.
- (2) “Clause 21 Benefits, Independence and Conflicts of Interests”, “Clause 22 Third Party Rights” and “Clause 23 Severability” shall be added to Part 1 GENERAL TERMS AND CONDITIONS.
- (3) “Section I - CHINA CONNECT TERMS AND CONDITIONS” shall be added to Part 2 RESPECTIVE CLIENT AGREEMENT.
- (4) “CREDIT RISK OF THE EXECUTING BROKER / CUSTODIAN” shall be added to Appendix 1 RISK DISCLOSURE STATEMENTS
- (5) “Appendix 3 FOREIGN ACCOUNT TAX COMPLIANCE ACT AND COMMON REPORTING STANDARD POLICIES” shall be added.

CHIEF COMMODITIES LIMITED - CLIENT AGREEMENT

- (1) Clause 3.15 and Clause 13.6 shall be added to Part 1 TERMS AND CONDITIONS.
- (2) “Clause 26 Position Limits and Reportable Large Open Position”, “Clause 27 Conflicts of Interests”, “Clause 28 Third Party Rights” and “Clause 29 Severability” shall be added to Part 1 TERMS AND CONDITIONS.
- (3) “CREDIT RISK OF THE EXECUTING BROKER / CUSTODIAN” shall be added to Appendix 1 RISK DISCLOSURE STATEMENTS
- (4) “Appendix 5 FOREIGN ACCOUNT TAX COMPLIANCE ACT AND COMMON REPORTING STANDARD POLICIES” shall be added.

Please note that the amendments to the CLIENT AGREEMENT shall be binding on you if you continue to maintain your account(s) with Chief Securities Limited and/or Chief Commodities Limited, or you continue to use any of our financial, electronic trading or other services on or after the Effective Date. If you do not accept the amendments, we may not be able to continue to provide our services to you. You may wish to refer to our official website (www.chiefgroup.com.hk) for the latest version of the CLIENT AGREEMENT. Should you have any queries, please contact our Customer Support Centre at (852) 2500 9199.

Chief Securities Limited
Chief Commodities Limited

Note: This is a computer-generated document that requires no signature.